

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: AJGAONKAR, et al.

Examiner: TBA

Serial No: 10/613,966

Group Art Unit: 2872

Filed: 07/03/2003

OPTICAL COMMUNICATION SYSTEM
AND METHODINFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Commissioner of Patents
Alexandria, VA 22313-1450

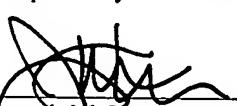
Dear Sir:

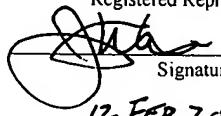
The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,


 Joseph M. Homa
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Date: 12 FEB 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, Va 22313-1450 on <u>12 FEB 2004</u> Date of Deposit	
<u>Joseph M. Homa</u> Name of applicant, assignee, or Registered Representative  <u>12 FEB 2004</u> Date of Signature	

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FORM PTO-1449 (MODIFIED)		ATTORNEY DOCKET NO.	SERIAL NO.
<p style="text-align: center;"> LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT <small>O I P E FEB 17 2004</small> <small>U.S. PATENT & TRADEMARK OFFICE</small> </p>		SP02-155	10/613,966
		APPLICANT AJGAONKAR, et al.	
		FILING DATE 07/03/2003	GROUP: 2872

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	2003/0147116	8/7/03	Shpantzer et al.	359	264	
	AB	2003/0058504	3/27/03	Cho et al.	359	161	
	AC	2002/0186435	12/12/02	Shpantzer et al.	359	136	
	AD	2002/0145787	10/10/02	Shpantzer et al.	359	136	
	AE	6,411,416	6/25/02	Ooi et al.	359	161	
	AF	6,323,993	11/27/01	Hansen et al.	359	337	
	AG	6,311,002	10/30/01	Evangelides et al.	385	123	
	AH	6,229,935	5/8/01	Jones et al.	385	24	
	AI	6,081,360	6/27/00	Ishikawa et al.	359	161	
	AJ	6,021,235	2/1/00	Yamamoto et al.	385	24	
	AK	5,914,799	6/22/99	Tan	359	167	
	AL	5,717,795	2/10/98	Sharma et al.	385	24	
	AM	5,559,920	9/24/96	Chraplyvy et al.	385	123	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AN	EP 0 868 794	6/28/00	Europe	H04B	10/18	
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Sahara, et al., "40-Gb/s RZ Transmission over a Transoceanic Distance in a Dispersion Managed Standard Fiber Using a Modified Inline Synchronous Modulation Method", Journal of Lightwave Technology, Vol. 18, No. 10, October 2000, pgs. 1364-1373.
AS	
AT	
AU	
AV	
AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.